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Applicant: Bruno RICHARD  
Title: PROCESS FOR DATA DISTRIBUTION THROUGH A NETWORK  
Appl. No.: Unassigned  
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**CLAIM FOR CONVENTION PRIORITY**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

The benefit of the filing date of the following prior foreign application filed in the following foreign country is hereby requested, and the right of priority provided in 35 U.S.C. § 119 is hereby claimed.

In support of this claim, filed herewith is a certified copy of said original foreign application:

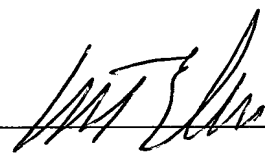
- European Patent Application No. EP 02354123.8 filed 08/29/2002.

Respectfully submitted,

Date: August 26, 2003

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**Patentanmeldung Nr. Patent application No. Demande de brevet n°**

02354123.8

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**R C van Dijk**





Anmeldung Nr:  
Application no.: 02354123.8  
Demande no:

Anmeldetag:  
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Anmelder/Applicant(s)/Demandeur(s):

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ETATS-UNIS D'AMERIQUE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Process for data distribution through a network

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
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## Process for Data Distribution through a Network

### **Technical field of the invention**

5           The invention relates to data communication and more particularly to a process for disseminating or distributing data through a network.

### **Background art**

10           The constant progress of the telecommunication systems, particularly with the explosion of the Internet and intranet networks, has resulted in the development of an era of information. With a single personal computer, it is possible to get a connection to the Internet network, and have direct access to a wide range of information, services and electronic documentation. More and more publishers provide on-line electronic documentation, books, reviews and all sorts of electronic media  
15 files to customers connected to the network.

          As the size of the Internet or a private intranet network increases, the need for distributed replication of an electronic file increases also. This capability is essential in a wide variety of situations. For instance, this is required when deploying large execution sets on all the machines of a cluster is planned and also the numerous situations of replication of web data from a Web server to  
20 Web caches. When a new version of an operating system or other program is made available there is an enormous need for quick replication of the software on a large number of different machines, which needs to be achieved without excessive stress on the web server and the telecommunication network.

25

          Generally speaking, the transmission of a given file and its replication at different locations within a network is normally performed in a master/slave mode, that is to say in a manner which concentrates the burden of the file copy on one central distribution point. In most situations, when the size of the electronic file to be transmitted is significant, and when there are a large number of  
30 recipients, this results in stress on both the master device – e.g. a web server – and also on the network. As an illustration, should one file of 500 Kbytes be provided to a number of 1000 recipients by one web server, the replication of the 1000 files will entail a traffic of 500 Mbytes for that server and for the communication path.

35           A technique known as *IP multicast* can in some circumstances be used to improve the situation but this, however, is not available on the public Internet network, because currently most routers do not support the Internet Group Management Protocol (IGMP).

The use of a proxy can in some circumstances relieve both the publisher of the electronic file and the network. As is well known, a proxy device functions to avoid direct connection from one machine – generally within an Intranet network – to another machine being outside the Intranet network. The communication between the first and the second machine is achieved via the proxy which receives and forward all the requests on behalf of the former to the latter.

Figure 1 illustrates the topology of a Intranet network which comprises a set of network devices – e.g. a router 9 allowing communication between a first subnetwork A and a second subnetwork B comprised within the Intranet, but also the computers 6 and 7, a printer 8 and an intranet server 10. All the network devices of the Intranet communicate with the Internet network 3 via a proxy element 5 allowing interface between the boundary of the Intranet and the Internet. It can therefore be seen that when a proxy is arranged within an Intranet network of a private organization for instance, all the requests from the machines of that Intranet network are first forwarded to the proxy, which accesses the remote machines and returns the results to the original requestor. Typically proxies are linked with other functionality, in particular the caching of web pages to reduce traffic so that one electronic file requested by a great number of machines belonging to one Intranet network is directly available without requiring multiple download operations from the publisher of the electronic files. Therefore, if one device – e.g. computer 6 requests one electronic file from an external web server 1, the latter can be cached within Proxy 5 for later diffusion within the Intranet.

#### Summary of the invention

This invention is directed to a process for distributing through a network an electronic message associated with a list of recipients, said process comprising the iterated application of the steps of:

- a) extracting from said list a first sublist and a second sublist of recipients;
- b) identifying a recipient within said first sublist which can be addressed via said network, and
- c) transmitting said electronic message and said first sublist to said identified recipient for onward distribution; and
- d) applying said steps (a) to (d) to said second sublist of recipients.

The process can also comprise receiving the message and a list of recipients for onward distribution. The above described process can thus be carried out in a plurality of nodes of the network upon receipt at each node of the message and an associated first sublist generated in another of the nodes until the message has been transmitted to all recipients on the list.

This process permits the burden of the transmission of the electronic document to be shared between different recipients, each of which reapplies the same process and reiterates using the original list of recipients until the electronic file or document is completely processed and transmitted to every recipient. Preferably, the two sublists are arranged to have a similar size and together comprising all the recipients listed in the list of recipients.

In at least preferred embodiments, said message and the list of recipients are contained in a package, the step of transmitting the electronic message to the identified recipient comprising generating a new package comprising the electronic message and the first list. The package can be an XML document for instance, and can comprise information defining the size and the date of creation of said electronic message.

In one embodiment, the transmission of the electronic package comprising the sublist is achieved via an HTTP channel, thus allowing easy transmission through the proxy of the Intranet networks.

In one embodiment, the process is executed by an agent which is embodied by a computer program having its own installation procedure. Alternatively, the agent can be a specific component of an operating system allowing effective publishing functionality.

The invention provides a computer program product which permits distribution or flooding of the information throughout a telecommunication network, such as an Internet/intranet network for instance.

## **Description of the drawings**

An embodiment of the invention will now be described, by way of example only, with reference to the accompanying drawings, wherein:

Figure 1 illustrates a network including a proxy system.

Figure 2a and 2b respectively illustrate a computer system for performing a distribution process and a distribution control agent.

Figure 3 illustrates the topology of five computers providing the distribution of an electronic file.

Figure 4 illustrates the process executed by a publisher.

Figure 5 illustrates the process executed by a control agent of a network device.

5 Figure 6 illustrates the execution of the process on a topology of eight devices.

#### **Description of the preferred embodiment of the invention**

With reference to figure 2 there is shown a block diagram of a system which permits  
10 distribution of electronic files. The system is illustrated as residing within a computer system 20 which may be a personal computer or any conventional suitably arranged computer system. The system is arranged to use existing electronic circuitry to perform the functions which will be described hereinafter.

15 The computer 20 is illustrated as including a memory device 21 which may be a random access memory (RAM), Read-Only-Memory (ROM), a conventional mass storage, such as a hard disk drive or the like. The memory device 21 is conventionally capable of storing any type of data, but is is particularly used in the present situation for achieving local replication of electronic files or electronic messages as will be explained hereinafter with details. In the following the term electronic  
20 messages will be used for simplicity to refer to the data that is to be disseminated within the network in whatever form and which may be received and stored within the memory device 21. In typical applications this data may be for instance be files such as word processed or other documents, program files or media files (mp3, asx, pdf) files in accordance with known standard formats, but the application of the techniques described is not limited to any particular type of file, message or data.  
25 For instance, the process would be particularly useful for distributing media files such as electronic newspapers which need to be disseminated very rapidly. The mass storage may usefully be arranged to include a specific storage area (not shown) which is reserved for receiving the electronic files received and stored in accordance with the process which will be described with reference to figures 4 and 5.

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The computer 20 is further illustrated as including processing circuitry 22 which generally governs the flow of software instructions and data within the computer system. In figure 2, the processing circuitry 22 is particularly arranged to provide read/write access to the memory device 21. Computer 20 further includes conventional input/output devices 23.

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Computer 20 further comprises communication circuitry 24. The communication circuitry 24 may be, for instance, a modem allowing the computer 20 to communicate via a telecommunication

network, such as an Internet network 3. It should be understood, however, that the type of communication circuitry with which computer 20 communicates with the Internet network – for instance to access a web server 1 with a database 2 – is not important. In the case of an Internet connection, a TCP/IP communication layer is provided for supporting the communication layers which are involved in the communication steps of the process described herein. In most cases, the access to the Internet network is achieved via the World Wide Web via the well-known Hyper Text Transfer Protocol (HTTP). While HTTP is very useful, particularly because it is well adapted to the use of firewalls, any other kind of protocol allowing communication via the network can equally be used.

10

In addition to the conventional software components which are used for controlling the processing circuitry 22, and which generally includes the operating system and a set of application software, the computer 20 is provided with a distribution control agent 25 which consists of a set of processing instructions stored within the memory 21 and which are used for controlling the sequence of operations which will be described below.

15

In this embodiment, the distribution control agent 25 is a specific software component provided with and embedded within the operating system installed within the machine. However, it will be understood that the functions described may be adapted to other configurations, such as in the form of a separately installed application program.

20

In this embodiment, distribution control agent 25 comprises two distinctive components: an emitter element 27 associated with a receiver element 26, as shown in figure 2b. The emitter element 27 is arranged to communicate with corresponding receiver elements 26 of other computers which are accessible via the network.

25

With respect to figures 3 and 4 there will now be described the process for distributing a message. Computer 31 – including a distribution control agent 25 with one emitter (E) element and one receiver (R) element – is assumed to transmit an electronic message to a set of recipients including recipient 32, 33, 34 and 35 shown in the figure 3. Recipients 32, 33, 34 and 35 are generally conventional computers such as illustrated in figure 2, but could also be more specific network devices allowing Internet access, such as mobile telephones, communicating wrist watches or similar appliances, for instance. It is assumed that any device can communicate via any other device through the network, which is generally the case when each device is configured as a network node provided with an Internet Protocol (IP) address.

30  
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Figure 4 more particularly shows the processing steps which are involved for achieving distribution of the messages. This process is launched by publishing server 31, for instance.

5 In a step 41, distribution control agent 25 receives an electronic message to be distributed, the latter being accompanied by a list of recipients (e.g. nodes 32-35 of figure 3). As mentioned above, the electronic message can be received from an application program running within the computer.

10 Upon reception of the message accompanied by the list of recipients, Agent 25 creates in a step 42 an electronic package containing the message to be distributed and the above mentioned list of recipients. In the case of a TCP/IP network, the recipients are defined by the IP addresses or by the email addresses. Preferably, the electronic package which is created at step 41 is an XML file which complies with the standard eXtended Mark-up Language structure and which is associated with a Document Type Definition (DTD) file. The XML file preferably comprises additional information  
15 such as the date of creation of the electronic file.

In a step 43, Agent 25 forwards the XML package to its receive control element 26 for further processing.

20 The processing which follows after step 43 is the general distribution processing which is depicted in figure 5 and which will now be described in detail.

The process is launched when the receive control element 26 of agent 25 receives in a step 51 an XML package which is to be processed.

25

Step 51 is then followed by a step 52 where agent 25 reads the container comprising the package and extracts the list of recipients therein included. If necessary, Agent 25 then removes itself from the list of recipients (not shown).

30 In a step 53, the agent 25 performs a test to determine whether the list of recipients is empty, in which case, the process proceeds to a step 59 which is the completion of the process.

However, in the general case, the list of recipients is not empty, and the process proceeds to a step 54 where agent 25 computes from the list of remaining recipients received in step 51 a set of two distinctive sublists: a first and a second sublist. First and second sublists share no common element and their joining permits the original list of recipients which was included within the original package to be regenerated. In one embodiment, the two sublists are arranged to have substantially

the same size. More particularly, in the preferred embodiment, the first list has a number of elements which is set to be equal to the integer which is immediately superior to half the number of recipients of the original list. With respect to the scheme of figure 3, it can be seen that sublist 1 can include nodes 32 and 34, while sublist 2 includes nodes 33 and 35. In the particular case where the list is limited to a single recipient, the process divides the list into a first and a second list, the second one being empty.

In a step 55, agent 25 determines via transmit control element 27 one particular recipient within the first sublist which can receive a notification via the network, for instance node 32 of sublist 1. Different methods and means can be arranged for achieving the above determination. Preferably, agent 25 issues a PING command to determine whether any particular recipient is available on the network.

When the above determination completes, the agent 25 generates in a step 56 a first subpackage comprising the original electronic document to distribute, accompanied with the first sublist within an XML file which is formatted in a similar fashion to the original electronic package which was created in step 41 of figure 4. In the example of figure 3, it can be seen that first sublist includes nodes 32 and 34. While figure 5 clearly illustrates that the determination of the particular recipient (step 55) precedes the generation of the electronic package, it should be noted that the generation of the electronic package could be carried out before the determination of the appropriate recipient to which the package will have to be transmitted.

In a step 57, the first subpackage is then forwarded to the transmit control element 27 for further propagation through the network to the recipient which was identified in step 54. This transmission is particularly illustrated in figure 3 by arrow 36 showing the propagation of the first subpackage from node 31 (the publishing node) and node 32. Preferably, the HTTP protocol is used for this transmission to facilitate the transmission through firewalls. Alternatively, this transmission can be achieved via the File Transfer Protocol or any other suitable protocol. Once the recipient receives the first subpackage, the latter will correspondingly initiate the general distribution process of figure 5.

In a step 58, agent 25 generates a second subpackage comprising the original electronic document or message, accompanied by the second sublist, which is formatted within a XML file and forwarded to the receive control element 26 of the same node and same agent. In the example drawn in figure 3, it can be seen that the second package has a second sublist including nodes 33 and 35.

The process then proceeds back to step 51 for the purpose of processing this new electronic package in accordance with the sequence of steps 51-58 which were described above. This permits a new pair of sublists to be computed, respectively a sublist containing node 35 and a second sublist containing node 33 permitting later transmission of the electronic file. Node 31 will therefore successively transmit the electronic document to node 35 (arrow 38) and then to node 33 (arrow 39). Node 34 will directly receive the electronic file from a direct transmission (arrow 37) from node 32.

It should be noted that the process of figure 5 which was described in detail above, is executed in each agent of the different machines which are involved in the distribution process and which receive the package. Therefore, the process is successively executed in the different recipients until the full distribution of the original document or file is achieved. Further, it can be seen that the number of replications of the original electronic documents is shared between the different recipients (ie nodes 31 and 32 in figure 3) of the different consecutive sublists which are computed. Neither the originating publishing server, nor the network is disproportionately stressed or loaded by the distribution of the document, even if a great number of recipients is to be reached.

More particularly, it can be seen that the number of successive downloads which is to be managed and handled by the publishing server is decreased in a ratio of  $\log_2$  with respect to the number of machines which are to receive the original message. This can be seen with more clarity in figure 6 illustrating the process for 7 nodes. Assuming that the original list of recipients includes nodes 61, 62, 63, 64, 65, 66 and 67, it can be seen that the first sublist which is generated in step 54 of figure 5 comprises nodes 62, 66 and 67 while the second sublist which is generated includes 61, 63, 64 and 65. When the first subpackage (containing the first sublist) is transmitted to node 62, the originating node 61 only has to process a second subpackage comprising a second sublist which is reduced to contain nodes 61, 63, 64 and 65.

At the next loop, when node 61 receives the second subpackage, a new iterative process is executed, what results in the computation of a new set of two subpackages, respectively containing nodes 64-65 and nodes 63, and the transmission of the former for further processing to node 64. It can then be seen that node 61 no longer has to transmit the electronic file to node 65 because node 65 receives the message via from the processing of the above mentioned subpackage by node 64.

While the invention was particularly described with reference with a computer, it should be clear that the process may be adapted to any device which can communicate with the network, and which can receive an electronic message. Such devices may include mobile telephones and more generally any device which can be fitted with a distribution control agent, such as agent 25, for the purpose of executing the steps of process illustrated in figure 4 and 5.

## CLAIMS

1. Process for distributing through a network an electronic message associated with a list of recipients, said process comprising the iterated application of the steps of :
  - a) extracting (54) from said list a first sublist and a second sublist of recipients;
  - b) identifying (55) a recipient within said first sublist which can be addressed via said network, and
  - c) transmitting said electronic message and said first sublist to said identified recipient for onward distribution; and
  - d) applying said steps (a) to (d) to said second sublist of recipients.
2. Process as claimed in claim 1 comprising receiving the message and a list of recipients for onward distribution.
3. Process according to claim 1 or claim 2 wherein said message and the list of recipients are contained in a package, the step of transmitting the electronic message to the identified recipient comprising generating a new package comprising the electronic message and the first list.
4. Process as claimed in claim 3 wherein the package is an XML document.
5. Process according to claim 4 wherein said electronic package comprises information defining the size and the date of creation of said electronic message.
6. Process according to any preceding claim wherein the computation of said first and second sublist results in the generation of sublists of similar size.
7. Process as claimed in any preceding claim wherein the first and second sublists together comprise all the recipients listed in the list of recipients from which they are extracted in step (a).
8. Process according to any preceding claim wherein said transmission of the electronic message is performed via a Hyper-Text Transfer Protocol link.
9. Process as claimed in any preceding claim wherein the iterated steps (a) to (d) are carried out in a single node of the network.
10. Process for distributing an electronic message associated with a list of recipients through a network comprising carrying out a process as claimed in any preceding claim in a plurality of nodes

of the network upon receipt at each node of the message and an associated first sublist generated in another of the nodes until the message has been transmitted to all recipients on the list.

11. Process for distributing an electronic package received from a telecommunication network, said package comprising an electronic message accompanied by a list of recipients, said process comprising:

- a) extracting (52) said list of recipients;
- b) determining (53) whether said list of recipients includes at least two items and, if so;
- 10 - c) generating (54) a first and a second sublist of recipients extracted from said list;
- d) identifying (55) one particular recipient from said second list which is reachable via said telecommunication network;
- d) generating (56) a first subpackage comprising said electronic document or file with said first sublist;
- 15 - e) transmitting (57) said first subpackage to said identified particular recipient;
- applying steps b-f to said second sublist.

12. Process according to claim 11 wherein said package is an XML document comprising said electronic document or file and a list of recipients for said transmission, said XML document comprising information defining the size and the date of creation of said electronic document or file.

13. Process according to claim 11 wherein the size of the first sublist is fixed to be equal to the integer which is immediately superior than half the number of items of the list of recipients.

25 14. Process according to claim 11 wherein the computation of said first and second sublist results in the generation of sublists of similar size.

15. Process according to claim 11 wherein said transmission of said first subpackage is performed via a Hyper-Text Transfer Protocol link.

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16. Publishing agent in the form of a computer program having program code elements for carrying out the process defined in any of claims 1 to 10.

17. Computer program product comprising program code elements for distribution or flooding of an electronic file or document through a telecommunication network, and arranged to execute the steps of:

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- generating (41) a package comprising said electronic document or file accompanied by a list of recipients;
- transmitting (43) said package to a first recipient; said first recipient extracting said list of recipients and computing a first sublist and a second sublist of recipients;
- 5 - generating (54) a first subpackage comprising said electronic file or document with said first sublist and transmitting said first subpackage to a second recipient which is identified from said first sublist;
- generating (58) a second subpackage comprising said electronic file or document with said second sublist and processing again said second package by said first recipient.

10 18. Computer program product comprising program code elements for allowing distribution or flooding of one electronic file or document contained within a package including a list of recipients through a telecommunication network, and arranged to execute the steps of:

- 15 - receiving (51) said package comprising said electronic document or file accompanied with a list of recipients;
- extracting from said package and said list of recipients a first sublist and a second sublist of recipients;
- generating (54) a first subpackage comprising said electronic file or document with said first sublist and transmitting said first subpackage to a second recipient which is identified from said first sublist
- 20 as being reachable;
- generating (58) a second subpackage comprising said electronic file or document with said second sublist and processing again said second package by said first recipient.

25 19. Computer program product in accordance with claim 16 embedded as a component of an operating system.

20. A data communications network wherein at least a subset of nodes comprise program code elements for carrying out a process as claimed in any of claims 1 to 10.

30

## Abstract

### Process for Data Distribution through a Network

5           A process for distributing through a network an electronic message associated with a list of recipients, said process comprising the iterated application of the steps of: a) extracting from said list a first sublist and a second sublist of recipients; b) identifying a recipient within said first sublist which can be addressed via said network, and c) transmitting said electronic message and said first  
10           sublist to said identified recipient for onward distribution; and d) applying said steps (a) to (d) to said second sublist of recipients. The process can also comprise receiving the message and a list of recipients for onward distribution. The above described process can thus be carried out in a plurality of nodes of the network upon receipt at each node of the message and an associated first sublist generated in another of the nodes until the message has been transmitted to all recipients on the list.

15   Fig. 5

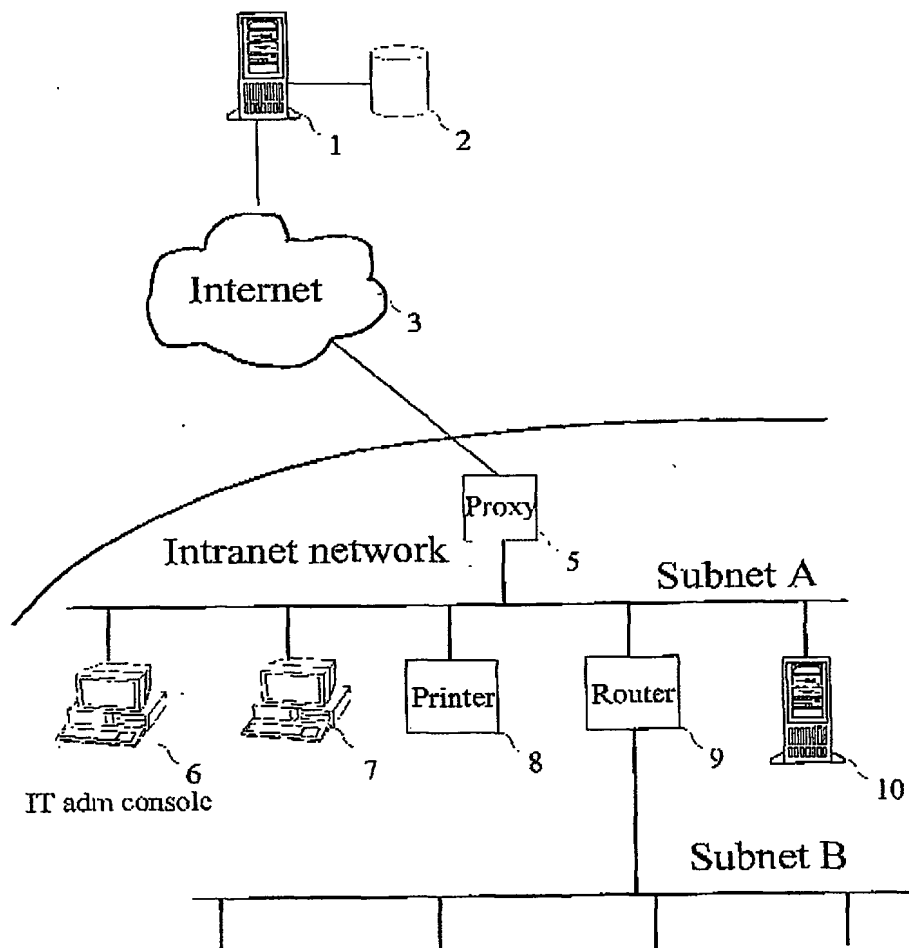


Fig. 1

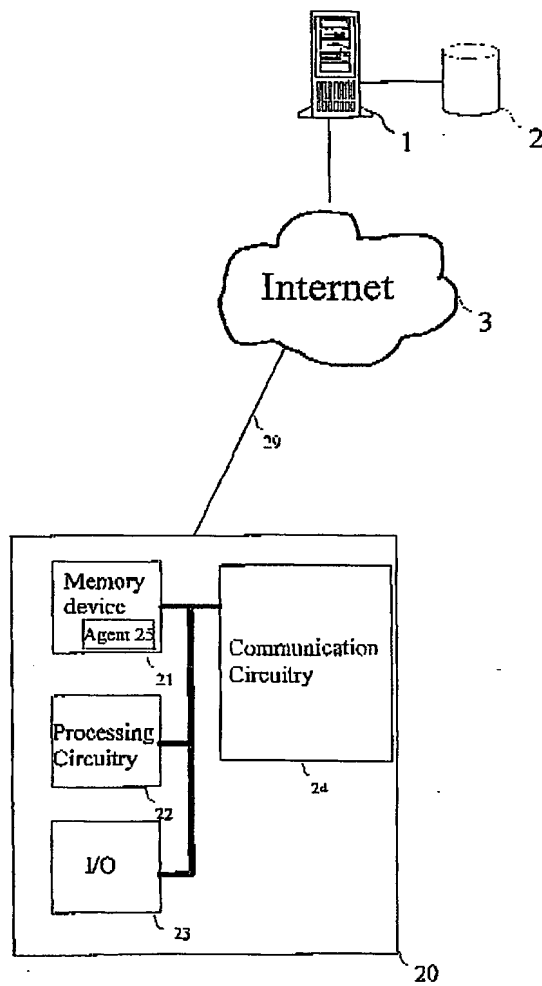


Fig. 2a

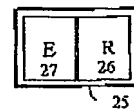


Fig. 2b

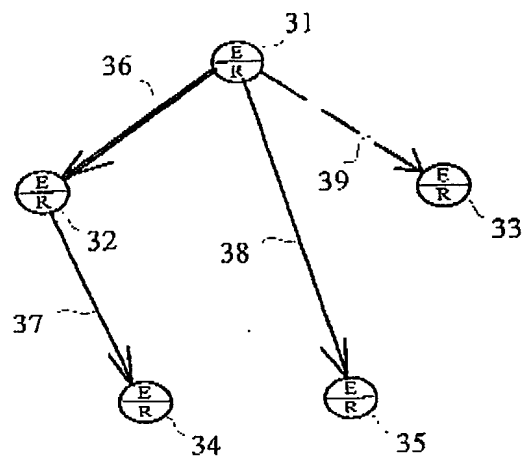


Fig. 3

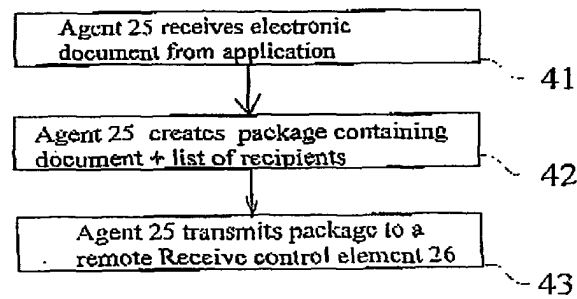


Fig. 4

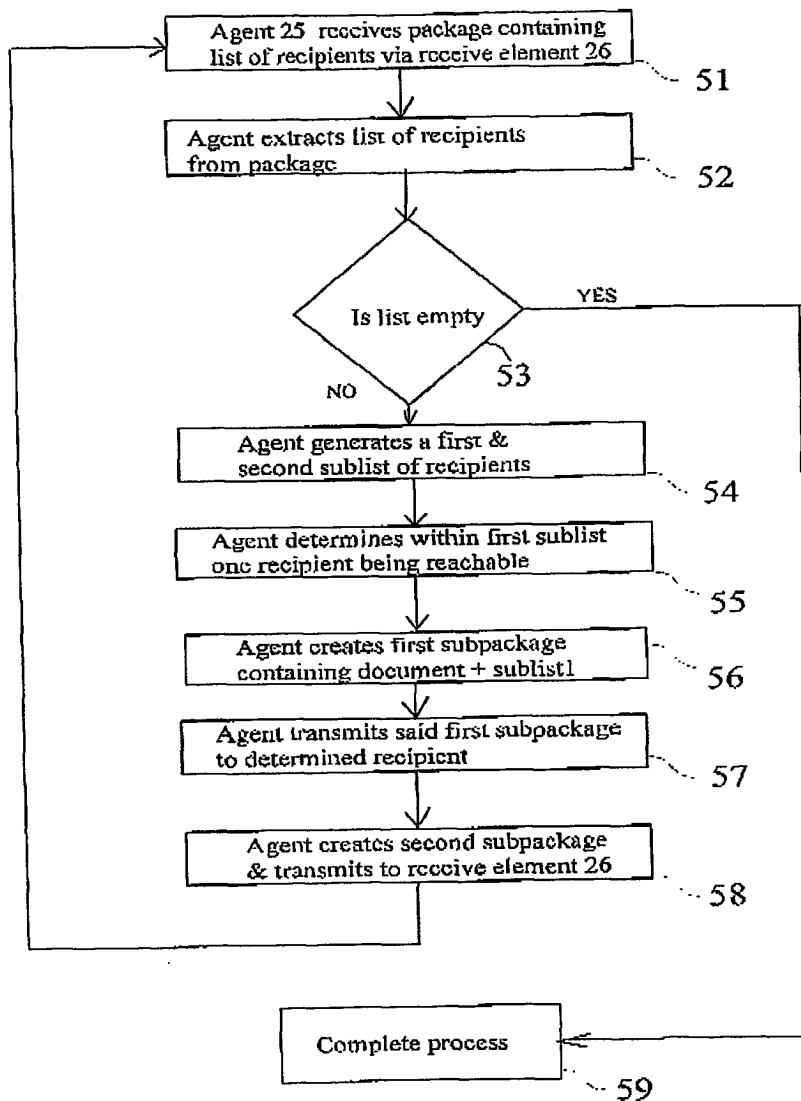


Fig. 5

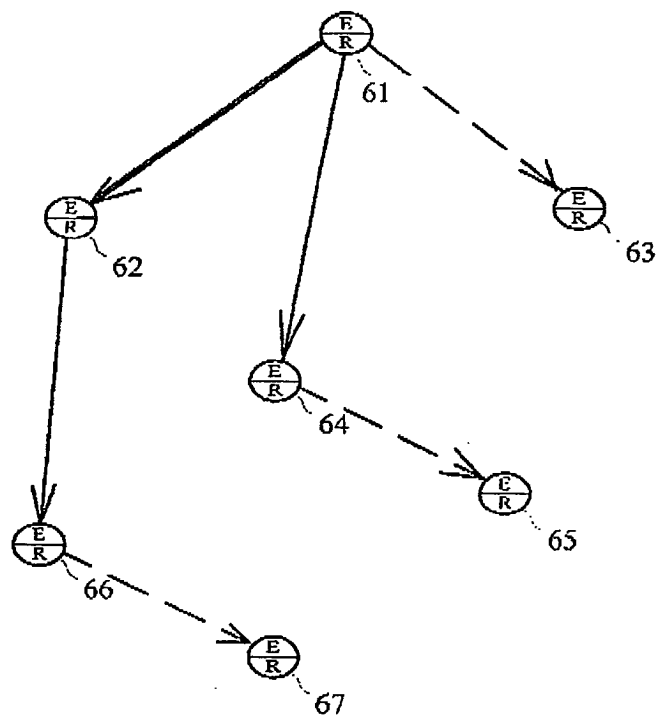


Fig. 6